This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS
□ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
□ FADED TEXT OR DRAWING
□ BLURRED OR ILLEGIBLE TEXT OR DRAWING
□ SKEWED/SLANTED IMAGES
□ COLOR OR BLACK AND WHITE PHOTOGRAPHS
□ GRAY SCALE DOCUMENTS
□ LINES OR MARKS ON ORIGINAL DOCUMENT
□ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ OTHER:

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

REMARKS

Reconsideration of the application in view of the following remarks is respectfully requested. Claims 1, 3-22, 24-43, and 45-66 are currently pending in the application.

CLAIMS 1, 3-16, 22, 24-37, 43, AND 45-58

The Office Action rejected Claims 1, 3-16, 22, 24-37, 43, and 45-58 under 35 U.S.C. §102(e) as being anticipated by Elgamal et al. (U.S. Patent No. 6,389,534 B1). The rejection is traversed.

With regard to Claim 1, there is recited a method performed by a framework in a system comprising the framework and at least one application; that method comprising:

receiving a request from the application for a customized implementation of a service;

determining a set of zero or more restrictions to be imposed upon said customized implementation;

dynamically constructing said customized implementation, said customized implementation incorporating said restrictions, and comprising enforcement logic for enforcing said restrictions; and

providing said customized implementation to the application;

wherein said customized implementation is invocable by the application without further interaction with the framework.

(emphasis added).

As discussed in the response to the previous Office Action ("the previous response"), the method of Claim 1 is quite advantageous because it allows an application to obtain access to services without repeatedly requesting those services from some centralized framework.

Also as discussed in the previous response, Elgamal does not disclose, teach, or suggest such a method. As discussed in the previous response, Elgamal fails to disclose,

teach, or suggest the limitation of "wherein said customized implementation is invocable by the application without further interaction with the framework."

The Final Office Action alleges that "Elgamal's Fig. 3 and further elaboration on column 6, lines 11-31 shows that the application does not have to return to the framework every time it needs to request an allowed operation or service." The Final Office Action further alleges, "A filtered list is returned to the application (column 6, lines 26-29) and hence, the application does not need to go back and call the framework to repeat this process whenever it needs to request an operation regarding a cryptographic function within the uploaded filtered list."

However, even assuming, arguendo, that a filtered list is returned to the application of Elgamal, it does not necessarily follow from this assertion that the application does not need to go back and call the framework whenever the application needs to request an operation regarding a cryptographic function within the uploaded filtered list. Quite to the contrary, the very last sentence of the text cited by the Final Office Action reads, "With the list of filtered (or authorized) cipher suites, the application causes a cryptographic operation to be performed in accordance with FIG. 4." (Col. 6, lines 29-31; emphasis added).

Thus, to understand how the application of Elgamal causes a cryptographic operation to be performed, reference must be made to Elgamal's FIG. 4 and the accompanying description. In col. 6, lines 32-43, Elgamal states:

Referring now to FIG. 4, the cryptographic operation is initiated by an application at step 401. The application calls a service module to request an operation involving cryptographic functions at step 402. At step 403, the service module calls its corresponding policy filter to determine whether the called operation is allowed. At step 404, if the called operation is not approved by the corresponding policy filter, then the service module returns an error to the application at step 405. On the other hand, if, at step 404, the called operation is approved, then

at step 406, the service module performs the called operation, calling the cryptographic module as necessary. Thereafter, the service module, at step 407, returns the operation results to the application.

(emphasis added).

Contrary to the Final Office Action's assertions, the above text makes it clear that even though a filtered list might be returned to the application of Elgamal, the application of Elgamal actually **does** need to go back and call the alleged framework whenever the application needs to request an operation regarding a cryptographic function. From the Final Office Action, it appears that the "service module" of Elgamal is being analogized with the "framework" of Claim 1. That being the casae, the above text indicates that, even with the list of filtered cipher suites, the application of Elgamal always calls the service module whenever the application requests an operation involving cryptographic functions.

Thus, according to the Final Office Action's analogy and the above text, the application of Elgamal always calls the alleged framework (i.e., service module) whenever the application requests an operation involving cryptographic functions, thereby further interacting with the alleged framework. Therefore, Elgamal does not disclose, teach, or suggest the limitation of "wherein said customized implementation is invocable by the application without further interaction with the framework" as required by Claim 1.

Additionally, the above text makes it clear that the service module of Elgamal returns either an "error" or "operation results" to the application of Elgamal. As explained in the previous response, neither an "error" nor "operation results" is the same as a "customized implementation of a service" as required by Claim 1. Unlike the customized implementation of Claim 1, which is "invocable by the application without

further interaction with the framework," neither an "error" nor "operation results" can even be invoked.

For at least these reasons, Applicants submit that Elgamal does not anticipate Claim 1.

Claim 22 is a device claim analogous to the method of Claim 1. Claim 43 is a computer-readable medium claim analogous to the method of Claim 1. Applicants submit that, for at least the reasons given above in connection with Claim 1, Elgamal does not anticipate Claims 22 and 43.

CLAIMS 17-21, 38-42, AND 59-63

The Office Action rejected Claims 17-21, 38-42, and 59-63 under 35 U.S.C. §103(a) as being unpatentable over Elgamal in view of Schell et al. (U.S. Patent No. 5,933,503). The rejection is respectfully traversed.

Claims 17, 38, and 59 depend from Claims 1, 22, and 43, respectively. Therefore, Claims 17, 38, and 59 contain the limitations of Claims 1, 22, and 43, respectfully.

As explained above, Elgamal does not disclose, teach, or suggest the limitation "wherein said customized implementation is invocable by the application without further interaction with the framework." Thus, Claims 17, 38, and 59 are patentable over Elgamal, taken individually.

Schell also fails to disclose, teach, or suggest the limitation "wherein said customized implementation is invocable by the application without further interaction with the framework." Indeed, the Office Action relies only upon Elgamal to disclose this limitation. The Office Action does not even allege that Schell discloses or suggests this limitation. Thus, Claims 17, 38, and 59 are patentable over Schell, taken individually.

Even assuming, arguendo, that it would have been obvious to combine Elgamal and Schell, the combination of Elgamal and Schell still fails to disclose, teach, or suggest the limitation "wherein said customized implementation is invocable by the application without further interaction with the framework" as contained in Claims 17, 38, and 59. Accordingly, Applicants submit that Claims 17, 38, and 59 are patentable over Elgamal and Schell.

CLAIMS 64-66

The Office Action rejected Claims 64-66 under 35 U.S.C. §103(a) as being unpatentable over Elgamal in view of Chan et al. (U.S. Patent No. 6,005,942). The rejection is respectfully traversed.

Claims 64, 65, and 66 depend from Claims 1, 22, and 43, respectively. Therefore, Claims 64, 65, and 66 contain the limitations of Claims 1, 22, and 43, respectfully.

As explained above, Elgamal does not disclose, teach, or suggest the limitation "wherein said customized implementation is invocable by the application without further interaction with the framework." Thus, Claims 64-66 are patentable over Elgamal, taken individually.

Chan also fails to disclose, teach, or suggest the limitation "wherein said customized implementation is invocable by the application without further interaction with the framework." Indeed, the Office Action relies only upon Elgamal to disclose this limitation. The Office Action does not even allege that Chan discloses or suggests this limitation. Thus, Claims 64-66 are patentable over Chan, taken individually.

Even assuming, arguendo, that it would have been obvious to combine Elgamal and Chan, the combination of Elgamal and Chan still fails to disclose, teach, or suggest

the limitation "wherein said customized implementation is invocable by the application without further interaction with the framework" as contained in Claims 64-66.

Accordingly, Applicants submit that Claims 64-66 are patentable over Elgamal and Chan.

Additionally, the portion of Chan cited in the Final Office Action refers to the "JAVA Card standard," rather than the "Java Cryptography Extension to Java Platform" recited in Claims 64-66. The "JAVA Card standard" is not the same as the "Java Cryptography Extension to Java Platform" recited in Claims 64-66. Thus, Claims 64-66

are patentable over Chan, taken individually.

The Final Office Action admits that Elgamal does not disclose, teach, or suggest a framework that comprises the Java Cryptography Extension to Java Platform as required by Claims 64-66. Thus, Claims 64-66 are also patentable over Elgamal, taken individually.

Even assuming, arguendo, that it would have been obvious to combine Elgamal and Chan, the combination of Elgamal and Chan still fails to disclose, teach, or suggest a framework that comprises the Java Cryptography Extension to Java Platform as required by Claims 64-66. Accordingly, Applicants submit that Claims 64-66 are patentable over Elgamal and Chan.

REMAINING DEPENDENT CLAIMS

The pending claims not discussed so far are dependent claims that depend on an independent claim that is discussed above. Because each of the dependent claims includes the limitations of claims upon which they depend, the dependent claims are patentable for at least those reasons the claims upon which the dependent claims depend are patentable. Removal of the rejections with respect to the dependent claims and

flowance of the dependent claims is respectfully requested. In addition, the dependent claims introduce additional limitations that independently render them patentable. Due to the fundamental difference already identified, a separate discussion of those limitations is not included at this time.

For at least the reasons set forth above, Applicants respectfully submit that all pending claims are patentable over the art of record, including the art cited but not applied. Accordingly, allowance of all claims is hereby respectfully solicited.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: May 17, 2004

Christian A. Nicholes

Reg. No. 50,266

RECEIVED

MAY 2 4 2004

Technology Center 2100

1600 Willow Street

San Jose, California 95125-5106

Telephone No.: (408) 414-1080

Facsimile No.: (408) 414-1076

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P. O. Bøx 7450, Alexandria, VA 22313-1450.